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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/678,607
	Filing Date	10/06/2003
	First Named Inventor	Frederick Hayes-Roth
	Art Unit	3621
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	FHR-102

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm or Individual name	Tianhua Gu, Reg. No. 52480	
Signature	<i>Tianhua Gu</i>	
Date	9/3/04	

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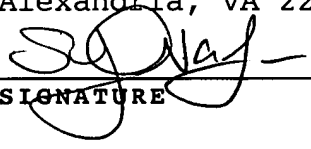
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JFW  
DAE

IN THE US PATENT AND TRADEMARK OFFICE

Patent Number: Not assigned  
Application Number: 10/678,607  
5 Filing Date: 10/06/2003  
Applicant: Frederick Hayes-Roth  
Application Title: Open Community Model for Exchanging  
Information in Dynamic Environments  
Examiner: Not assigned  
10 Art Unit: 3621

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PETITION UNDER 37 CFR §1.10

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20

Sir:

25

The above-identified application was file with the USPTO on October 3, 2003 by Express mail Post Office to Address. The Official incorrectly accorded the filing date as of October 6, 2003. The applicant petitions the Commissioner to accord the filing date as of the correct filing date of **October 3, 2003.**

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5 to Address is October 3, 2003 clearly. The number of the  
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the USPTO is October 3, 2003.

10 Respectfully submitted,

*Tianhua Gu*

Tianhua Gu  
Reg. No. 52,480

15 Lumen  
2345 Yale Street, 2<sup>nd</sup> Floor  
Palo Alto, CA 94306  
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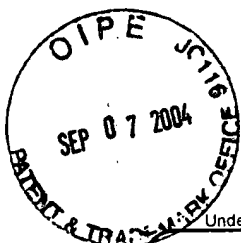
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

FHR-102

First Inventor

Frederick Hayes-Roth

Title

Open Community Model for Exchanging Information

Express Mail Label No.

ER512364267US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application  
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1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 50]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. Oath or Declaration [Total Sheets         ]  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
name in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ Paper  
c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement [ ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure [ ☒ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)

of prior application No.: .....

Prior application information:

Examiner: .....

Art Unit: .....

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number:OR ☐ Correspondence address below

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Name (Print/Type)

Katharina Wang Schuster

Registration No. (Attorney/Agent)

50,000

Signature



Date

Oct. 3, 2003

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Title: Open Community Model for Exchanging  
Information in Dynamic Environments  
Application No.: Not assigned  
Filing Date: Filed herewith  
Attorney Docket: FHR-102

Received today:

1. Postcard
2. Transmittal form
3. Specification 50 pages
4. Drawings 6 pages
5. IDS and cited references

03970 U.S. PTO  
10/678607  
  
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